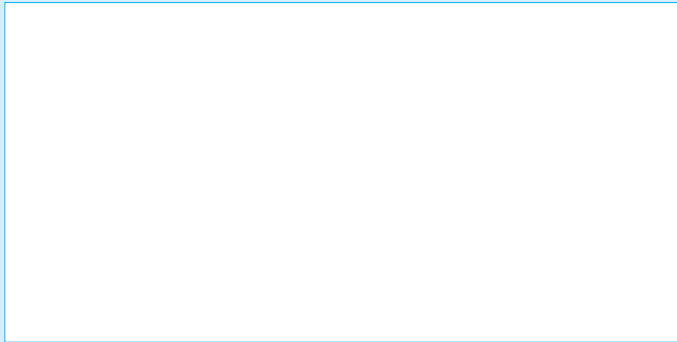


Farm Source Trading Account Application Individuals

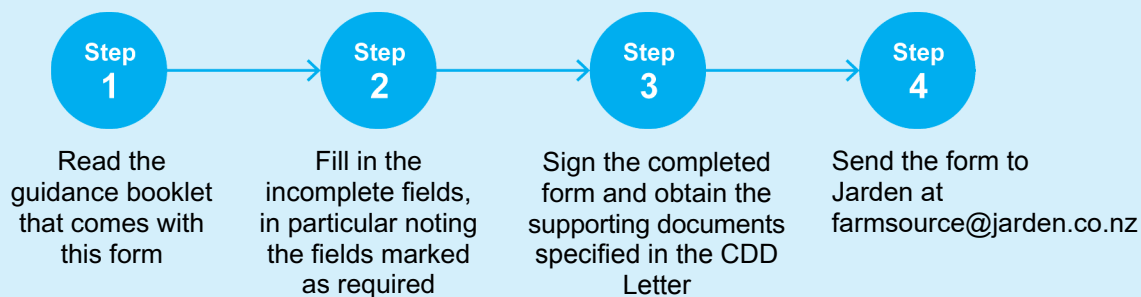


Welcome to Farm Source trading

This form is needed if you want to trade Fonterra Co-operative Group Shares through Farm Source trading. Jarden Securities Limited (Jarden) has been appointed by Fonterra to operate the Farm Source trading service. You will need to complete all the form fields, in particular those marked as required, and sign at the end.

Before you start filling in this form

- Please follow these steps when applying for an account.



1. Your personal details

Please complete all sections below, in particular noting those fields labelled as required

Account details

Party number:	<input type="text"/>	Required field
Party name:	<input type="text"/>	Required field
Postal address:	<input type="text"/>	
Address line 2:	<input type="text"/>	
Address line 3:	<input type="text"/>	
Postcode:	<input type="text"/>	Required field
Country:	<input type="text"/>	Required field

Residential address

If the postal address above is a PO Box or not your residential address, please fill in your details below.

Check this box and go to **Contact details** if your residential address is the same as the postal address above

Address line 1:	<input type="text"/>	
Address line 2:	<input type="text"/>	
Address line 3:	<input type="text"/>	
Postcode:	<input type="text"/>	Country: <input type="text"/>

Contact details

Email address:	<input type="text"/>		Changing your details here will only apply to your trading account		
Landline phone:	<input type="text"/>	Required field	Mobile phone: <input type="text"/>		
Occupation:	<input type="text"/>			Required for regulatory reasons	
Country of birth:	<input type="text"/>	Required for regulatory reasons	Date of birth:	<input type="text"/>	Required for regulatory reasons

Are you a resident for tax purposes in any country outside of New Zealand? Yes No

Are you a citizen in any other country outside of New Zealand? Yes No

If you have ticked 'Yes' to either of the above you need to supply additional information under The Foreign Account Tax Compliance Act (FATCA) and Automatic Exchange of Information (AEOI), otherwise known as CRS. Please refer to the Farm Business section on nzfarmsource.co.nz.

Financial details

The bank account below needs to be in your name, but does not have to be the same account as used for Fonterra milk payments. Please also ensure you complete the IRD number field

IRD number:	<input type="text"/>		Required field		
Bank account number:	<input type="text"/>	<input type="text"/>	<input type="text"/>		
Bank account name:	<input type="text"/>			Required field. The name of the account holder as recorded by the bank	
Resident Withholding Tax (RWT) Rate:	<input type="checkbox"/> 10.5%	<input type="checkbox"/> 17.5%	<input type="checkbox"/> 30%	<input type="checkbox"/> 33%	<input type="checkbox"/> Non-resident <small>(refer to guidance booklet)</small>

2. Your farms

List here all the farms associated with your party at Fonterra. Only the farm number and CSN are required fields. If you are unsure of your farm number(s) or of the CSN allocated to your farm(s), please contact Supplier Services.

Each farm has a Common Shareholder Number (CSN) allocated to it as the shareholding is linked to your farm, not just your party and is the only shareholding number that can be used to trade Fonterra Co-operative Group Shares for this farm and party combination. The CSN number is used by the brokers, the New Zealand Stock Exchange (NZX) and the share registries to track and record share transactions.

Farm number:	<input type="text"/> Required field !	CSN:	<input type="text"/> Required field !
Farm name:	<input type="text"/>		
Farm address:	<input type="text"/>		

Farm number:	<input type="text"/> Required field !	CSN:	<input type="text"/> Required field !
Farm name:	<input type="text"/>		
Farm address:	<input type="text"/>		

Farm number:	<input type="text"/> Required field !	CSN:	<input type="text"/> Required field !
Farm name:	<input type="text"/>		
Farm address:	<input type="text"/>		

Farm number:	<input type="text"/> Required field !	CSN:	<input type="text"/> Required field !
Farm name:	<input type="text"/>		
Farm address:	<input type="text"/>		

Farm number:	<input type="text"/> Required field !	CSN:	<input type="text"/> Required field !
Farm name:	<input type="text"/>		
Farm address:	<input type="text"/>		

Farm number:	<input type="text"/> Required field !	CSN:	<input type="text"/> Required field !
Farm name:	<input type="text"/>		
Farm address:	<input type="text"/>		

Farm number:	<input type="text"/> Required field !	CSN:	<input type="text"/> Required field !
Farm name:	<input type="text"/>		
Farm address:	<input type="text"/>		

3. Authorised representatives

An authorised representative is someone who you allow to trade on your behalf – this could be anyone from your spouse to your lawyer or accountant. Representatives need to be an individual – you cannot specify an organisation or a company as a representative.

The representative will need to sign this form and their identification will need to be certified.

You can nominate multiple representatives. If you need more space to add representatives, please photocopy this page.

Check this box if you don't want to have a representative and go to **Section 5**.

Authorised representative

Name:

Residential address: This address is required and must be a residential address. It cannot be an office address 

Address line 2:


Address line 3:


Postcode: **Country:**

Email address:

Landline phone: **Mobile phone:**

Country of Birth: **Date of Birth:** Required for regulatory reasons 

Check this box if the representative will receive the website login for internet trading Only one person can receive the internet trading website login. Other nominated traders can trade by phone 

Check this box if the representative will receive contract notes by email Ensure you supply an email address if you tick this box 

Signature of Representative:

Date:

Authorised representative

Name:

Residential address: This address is required and must be a residential address. It cannot be an office address 

Address line 2:

Address line 3:

Postcode:

Country:


Email address:


Landline phone:

Mobile phone:

Country of Birth:

Date of Birth: Required for regulatory reasons 

Check this box if the representative will receive the website login for internet trading Only one person can receive the internet trading website login. Other nominated traders can trade by phone 

Check this box if the representative will receive contract notes by email Ensure you supply an email address if you tick this box 

Signature of Representative:

Date:

4. Security questions

Security questions are required in order to authorise access to this account and will be asked when individuals contact us by phone. These questions and answers will need to be known by all people who are authorised to trade on this account.

Suggested questions include: What is my mother's maiden name?, What town was I born in?, What was the name of my first pet?, What was the model of my first car?, What was the name of my first school?, Where did I go on honeymoon?

Question 1:

Answer 1:

Question 2:

Answer 2:

Question 3:

Answer 3:

5. Document checklist

Please obtain documentation as in the list below and enclose with this application form. See the guidance booklet for more information.

I enclose certified or verified proof of legal name and date of birth for me and all authorised representatives

I enclose certified or verified proof of residential address for me if specified in the CDD Letter and all authorised representatives

I enclose a bank deposit slip or similar bank-generated proof of my bank account details

Please ensure you sign the form on the next page!

6. Signature

I/We agree to be bound by the terms and conditions set out in this Application Form and the accompanying booklet 'Farm Source Trading Account - Terms and Conditions' (a copy of which I/we acknowledge I/we have received and read). I/We further agree that the 'Farm Source Trading Account - Terms and Conditions' may be amended by Jarden Securities Limited (Jarden) from time to time, and I/we agree to be bound by any such amendments.

I/We confirm that all the details set out in this Application Form are correct.

I/We agree that instructions may be given and Orders may be placed by me/us or an Authorised Representative by letter, telephone, facsimile, email or any other medium and in all cases Jarden is entitled to rely on such instructions and Orders and treat them as genuine (without further enquiry as to the identity or authority of the sender of the instructions or Orders) if the instructions or Orders appear to Jarden to have come from me/one of us or any Authorised Representative.

I/We agree that we are responsible for notifying Jarden of any changes to my/our contact details (including address, email address and mobile phone number) and for any consequences resulting from my/our failure to do so.

I/We authorise Jarden to implement all Orders on this account by placing them to the market in such a manner as Jarden considers appropriate having exercised this discretion with reasonable care. I/We accept that Jarden does not guarantee and gives no warranty that this will produce the best or any particular price. I/We acknowledge that Jarden may submit Orders in this manner unless I/we give Jarden instructions to the contrary either generally or in relation to a specific Order.

I/We authorise Jarden to retain an encrypted copy of my/our Faster Identification Number (FIN) which will be automatically populated into all sell Orders submitted into my/our account. I/We acknowledge that by permitting Jarden to retain my/our FIN, I/we are giving Jarden ability to transfer my/our security holdings to other persons.

Jarden will encrypt your FIN as soon as reasonably practicable and your FIN will only be used by Jarden in the implementation of your trading instructions or Orders and provision of services to you. Additionally, Jarden undertakes that it will at all times use reasonable endeavours to protect your FIN from unauthorised use or access.

Where your account is made up of more than one person, all such persons accept that they are jointly and severally liable for any instructions executed on your account and that Jarden is entitled to act upon the instructions of any one of those persons unless written, signed instructions from all such persons are provided to the contrary.

This Application Form may be signed in any number of counterparts all of which, when taken together, will constitute one and the same instrument.

I/We give Jarden Securities Limited (Jarden) authority to unconditionally and irrevocably accept instructions in relation to my/our funds deposited in the NZD Call Account. I/we also agree, and authorise, Jarden to:

- Pay for my/our securities purchases made through Jarden from my/our funds deposited in the NZD Call Account.
- Deposit my/our funds in the NZD Call Account following my/our sale of securities through Jarden, in accordance with this Application Form and any specific instructions from me/us.

I/We authorise Jarden to disclose personal information and documentation provided in or with this form to Fonterra Co-operative Group Limited for the purposes of identify verification of the relevant person(s) for anti-money laundering compliance purposes.

The Signature below must reasonably match your signature as per the identification that you have provided.

Signature:

Date:

What happens next?

- Email this form and the required documentation to Jarden at farmsource@jarden.co.nz.
- When you have sent in the required documentation Jarden will check the application form. If they have any questions, they may contact you directly.
- If at any time you want to know the status of your application, contact Supplier Services on 0800 65 65 68.
- Applications may take up to two to three weeks to be processed.