

# Carbon Trading Application Form

## Instructions

1. Please read the Jarden [Privacy Policy](#)
2. Complete and sign this form
3. Attach a copy of your bank statement confirming your details and Account (or BSB) number
4. Complete the Authorised Person sections.
5. Attach Certified ID & Proof of Address for all Beneficial Owners see page 4 for explanation.
6. Read and agree to Jarden's [Carbon Terms](#)

Please scan and return your completed form along with supporting identification and bank details to [carbon@jarden.co.nz](mailto:carbon@jarden.co.nz)

## Client Details

Name (Company, Partnership, Trust or Individual)

### Address

Number

Street

Suburb

City

Postcode

Country

Is this residential address to be used for correspondence?      Yes      No

If no, please provide postal address below:

Number

Street

Suburb

City

Postcode

Country

## Primary Contact Details

Given name (s)

Phone

Mobile

Email

## Environmental Products

The persons recorded below as **Authorised Persons** are authorised by the client to negotiate and enter into contracts for the following:

NZUs (New Zealand Carbon Credit Units)

VCUs (Voluntary Carbon Units)

VERs (Voluntary Emission Reductions)

If at any time after submitting this Application, the client wishes to trade in one of the above Environmental Products not ticked on this form, the client must make that request to Jarden in writing accompanied by evidence of the Registry account in the name of the client for that Environmental Product. If that request is accepted by Jarden then all trading in those Environmental Products will be transacted under Jarden's [Carbon Terms](#).

## Registry Account Details

Registry provider

Account name

Account number

Registry provider

Account name

Account number

Registry provider

Account name

Account number

### Details of each Beneficial Owner

A Beneficial Owner means a person who has “effective control” e.g: Directors, Partners, Principals or who jointly or severally owns more than 25% of the client. Please indicate if a Beneficial Owner is to be authorised to act on the account. Identification information is required for each individual listed below (See identification Requirements on page 4). (If more than four beneficial owners, please photocopy this page).

**Beneficial Owner 1**

Full name

Position

Phone number

Email

**Address**

Number

Street

Suburb

City

Postcode

Country

Authorised to act on account:                      Yes              No

**Beneficial Owner 2**

Full name

Position

Phone number

Email

**Address**

Number

Street

Suburb

City

Postcode

Country

Authorised to act on account:                      Yes              No

**Details of each Beneficial Owner - continued**

**Beneficial Owner 3**

Full name

Position

Phone number

Email

**Address**

Number

Street

Suburb

City

Postcode

Country

Authorised to act on account:

Yes

No

**Beneficial Owner 4**

Full name

Position

Phone number

Email

**Address**

Number

Street

Suburb

City

Postcode

Country

Authorised to act on account:

Yes

No

## Additional Authorised Person Details

### Please list any additional Authorised Persons below:

The Client acknowledges that when these Authorised Persons enter into an oral agreement for a transaction with Jarden this will represent a legally binding agreement under law for the transaction on the terms and conditions set out in Jarden's [Carbon Terms](#).

Complete this section in full to authorise any other person(s) to operate the Account on your behalf. Their signature is required to indicate their acceptance of the nomination. Each Authorised Person must provide the Identification Requirements (see page 4).

#### Authorised Person 1

Full name

Position

Phone number

Date of birth

Email

Residential address (not a PO box)

Signature

#### Authorised Person 2

Full name

Position

Phone number

Date of birth

Email

Residential address (not a PO box)

Signature

#### Authorised Person 3

Full name

Position

Phone number

Date of birth

Email

Residential address (not a PO box)

Signature

#### Authorised Person 4

Full name

Position

Phone number

Date of birth

Email

Residential address (not a PO box)

Signature

## Identification requirements

All financial institutions in New Zealand are required by law to identify their clients. We will not be able to operate your Account without the required identification.

### Please provide the documentation below:

#### 1. Confirming Entity Identity

You must provide us with documentation to confirm the authenticity of the Entity:

- **For a Company:** a copy of the current company extract that confirms the full legal name of the entity / company, jurisdiction of incorporation, registration or identifier number, registered office address, list of directors and list of shareholders and the percentage of shares they hold.
- **For a Partnership:** a copy of the partnership extract, agreement or relevant sections from an agreement that confirms full legal name of the partnership, registration or identifier number, registered office address and list of partners.
- **For a Club or Incorporated Society:** a copy of the constitution or charter that confirms the full name of the organisation, legal status (incorporated), purpose of organisation, list of officers or members that may conduct business on behalf of the Entity.
- **For a Trust:** Trust Deed and relevant Deeds of Amendment that confirms full legal name of the Trust, current Trustees and beneficiaries.
- **For Charitable Trust:** a copy of the trust deed that confirms full legal name of the charitable trust, registration or identifier number, registered address, list of trustees, officers or members that may conduct business on behalf of the Trust, classes of beneficiaries, purpose or objective of the trust.
- **For an Iwi or Maori Trust:** a copy of the iwi or Maori Trust Establishment documentation, including documentation that confirms the full name of the organisation. iwi or trust legislation established pursuant to (if applicable), legal status and any registration or identifier number (if applicable). registered address, list of trustees, officers or members that may conduct business on behalf of the organisation, iwi or trust, purpose or objective of the organisation, iwi or trust, classes of beneficiaries.

#### 2. Confirming Identity of each Beneficial Owner

You must provide us with documents to confirm the identity of all the following people:

- Each Individual listed as Beneficial Owners on page 2.
- Any other person listed as an Authorised Person on page 3.

Choose one of the following options (which must be signed and current). See below for the Document Certification instructions.

Option A	Option B	Option C
One of: <ul style="list-style-type: none"> <li>• Passport</li> <li>• Firearms Licence</li> </ul>	One of: <ul style="list-style-type: none"> <li>• Birth certificate</li> <li>• Citizenship certificate</li> </ul> Together with one of: <ul style="list-style-type: none"> <li>• Drivers Licence</li> <li>• Kiwi Access card</li> </ul>	<ul style="list-style-type: none"> <li>• New Zealand Drivers Licence</li> </ul> Together with one of: <ul style="list-style-type: none"> <li>• A bank statement issued by a registered bank dated within 12 months of this application</li> <li>• A document issued by a government agency that contains your name and a signature e.g. SuperGold Card</li> <li>• A statement issued by a government agency dated within 12 months of this application e.g. IRD statement</li> </ul>

AND

### 3. Confirming Residential Address

To confirm residential address of each Beneficial Owner please provide a document such as an invoice, statement, letter or contract showing full name (or initials and surname) and residential address (not PO Box) dated within 12 months of this Application from any of the following:

- A registered bank
- Utility or major service provider (water, electricity, gas, phone, internet provider, insurance)
- Professional service provider - Lawyer, Accountant, Doctor
- Central government correspondence from Inland Revenue, WINZ, Housing NZ e.g. a benefit or pension statement, vehicle registration, property valuation or rates notice
- Electoral role confirmation
- Communications from a current employer e.g. payslip
- Tenancy agreement

Other suitable identification may be accepted at Jarden's discretion.

## Document Certification

If you cannot personally provide original documents confirming your identity to Jarden you must have the identification documents certified. To certify, take the original identification documents to one of the following people: Justice of the Peace; registered Lawyer, Doctor or Teacher; Chartered Accountant; Police Officer; Member of Parliament; Notary Public; or a person who has legal authority to take statutory declarations or equivalent in New Zealand.

The certifier will be required to:

- Photocopy the identification documentation so that all details are legible and the photo is clear.
- Provide a declaration stating the copy taken is a true copy of the original and represents the identity of the named person. Example below:

I certify this to be a true copy of the original document and confirm it represents the identity of [your name].

Name:

Occupation:

Date:

Signature:

The certification is only valid for 3 months. Jarden must receive it within 3 months of the date of certification.

Certified documents must be signed and include the name of the certifier, their occupation and the date. The certifier must be at least 16 years of age and cannot be related to the person, the spouse or partner of the person or living at the same address as the person. Neither can the certifier be involved in the transaction or business requiring certification, for example a lawyer who is a trustee of a trust cannot certify the documents of the other trustees.

## Bank Account Details

The bank account to be used to pay proceeds to must be in the same name as this Account. This information will stand until revoked in writing. (Please attach pre- encoded bank deposit slip or other Bank confirmation of the bank account).

Account name

Account number

Bank name/branch:

## Directors, Shareholders, or General Partners of the Entity, acting as a Nominee for another person

Please note, this section is only mandatory for Company/Partnership applications.

A Nominee is a person who is required to carry out, or is accustomed to carrying out the role of director, shareholder or general partner in accordance with the directions or instructions of another person who is not a director, shareholder or general partner of the Entity. Each Nominator must provide the Identification Requirements (see page 4).

Are there any directors, shareholders, or general partners on the account who are acting as a Nominee?

Yes      No

If yes, name the person acting as the nominee:

Name the person for who they are acting (the Nominator):

## Financial Information

Please provide the following information, which will be relied upon by Jarden in our decision to open your Account and in providing services to you. Additional information may be requested at Jarden's discretion.

\*Please note – these questions are mandatory for individual, joint, trust and company/partnership applications.

1. List all activities you intend to conduct through this account. \*

2. How do you intend to fund your account? \*

3. In relation to question 2, how are these funds generated? \*

4. Estimate annual value of this funding? \*

5. Is this entity a vehicle for holding personal assets? For example, the assets of a trust? \*



## Declaration and signatures

I agree that all New Zealand Carbon Credit Units (NZUs), Voluntary Carbon Credits (VCUs) or Voluntary Emission Reductions (VERs) will be transacted under the terms and conditions of Jarden’s Carbon Terms.

The Client confirms that the information provided in this Application is true, correct and complete. The Client confirms the signatories have the authority to provide the information in this Application and any ancillary information that may be required by Jarden.

The Client understands that not giving information, or giving false information, is serious under New Zealand law. The Client will contact Jarden if any information the Client has given changes. The Client confirms that the Client has not been declined service by any other financial services provider or been declared bankrupt.

The Client acknowledges that Jarden currently records all incoming and outgoing telephone calls, and consents to this telephone recording.

The Client confirms it has read and understands the Carbon Terms. The person(s) identified as additional Authorised Persons in the Application have read and understand the Terms and are authorised to operate the Account on behalf of the Client.

The Client confirms that by signing this Application, the Client will be automatically bound by these Carbon Terms if Jarden approves and accepts this Application, and this Application and the Carbon Terms will constitute a binding agreement with Jarden.

This application should be signed (as a minimum) by either:

- a. One Director,
- b. All Partners,
- c. All trustees, or;
- d. All authorised signatory for Individual and Joint applicants.

By: \_\_\_\_\_ Date: \_\_\_\_\_

(Director/Trustee/Partner/Authorised Signatory Signature) (Director/Trustee/Partner/Authorised Full Name)

By: \_\_\_\_\_ Date: \_\_\_\_\_

(Director/Trustee/Partner/Authorised Signatory Signature) (Director/Trustee/Partner/Authorised Full Name)

By: \_\_\_\_\_ Date: \_\_\_\_\_

(Director/Trustee/Partner/Authorised Signatory Signature) (Director/Trustee/Partner/Authorised Full Name)

By: \_\_\_\_\_ Date: \_\_\_\_\_

(Director/Trustee/Partner/Authorised Signatory Signature) (Director/Trustee/Partner/Authorised Full Name)

(Companies should sign in accordance with the Companies Act 1993. All partners should sign in the case of a Partnership or trust. For additional signatories, please photocopy this page and attach to the original application form).

## Final checklist

Please provide the documentation listed below and complete the checklist.

- Client Identification Documentation (see page 4)
- Beneficial Owner Identification Documentation (see page 4)
- Residential Address Documentation (see page 4)
- Bank Account Documentation (see page 5)
- Completed Application Form in full, including the Financial Information (see page 5)